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11000 U.S. PTO 09/882610 06/15/01

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1 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2 Inventorship ..... Parsons et al.  
3 Applicant ..... Microsoft Corporation  
4 Attorney's Docket No. .... MS1-690US  
5 Title: System And Related Methods For Managing And Enforcing Software Licenses

6 TRANSMITTAL LETTER AND CERTIFICATE OF MAILING

7 To: Commissioner of Patents and Trademarks From: Nathan R. Rieth (509) 324-9256  
8 Washington, D.C. 20231 Customer No. 22801

9 The following enumerated items accompany this transmittal letter and are being submitted for the  
10 matter identified in the above caption.

- 11 1. Transmittal Letter with Certificate of Mailing included.  
12 2. PTO Return Postcard Receipt  
13 3. Fee Transmittal  
14 4. Check in the amount of \$1752.00  
15 5. New patent application (title page plus 50 pages, including claims 1-49 & Abstract)  
16 6. Executed Declaration  
17 7. 6 sheets of formal drawings (Figs. 1-4C)  
18 8. Assignment w/Recordation Cover Sheet

19 Large Entity Status [x] Small Entity Status [ ]

20 The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit  
21 Account No. 12-0769 in connection with any patent application filing fees under 37 CFR 1.16, and any  
22 processing fees under 37 CFR 1.17.

23 Date: 6/15/01

24 By: Nathan R. Rieth  
25 Reg. No. 44,302

26 CERTIFICATE OF MAILING

27 I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal  
28 Service as either first class mail, or Express Mail if the blank for Express Mail No. is completed below, in  
29 an envelope addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the  
30 below-indicated date. Any Express Mail No. has also been marked on the listed items.

31 Express Mail No. (if applicable)

32 EL792821114

33 Date: 6/15/2001

34 By: Dana L. Calhoun  
35 Dana L. Calhoun

EL792821114

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	Bergler
Examiner Name	
Group Art Unit	
Attorney Docket No.	MSI-690US

TOTAL AMOUNT OF PAYMENT

(\$1752.00)

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 12-0769

Deposit Account Name Lee &amp; Hayes PLLC

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	710
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$710.00)

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
49	-20** = 29	18	522
9	-3** = 6	80	480
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$1002.00)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	40
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify)			
SUBTOTAL (3)			(\$240.00)

\*Reduced by Basic Filing Fee Paid

## SUBMITTED BY

Name (Print/Type)	Nathan R. Rieth	Registration No. (Attorney/Agent)	44,302	Telephone	(509) 324-9256
Signature	Nathan R. Rieth	Date	6/15/01		

Complete (if applicable)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231